

Please print or type your name, mailing address, agency name, and position below:

**FOR OFFICE USE ONLY:**

LAST NAME -- FIRST NAME -- MIDDLE NAME :

MAILING ADDRESS :

Michael B. Holderness #3728  
Cedar Hammock Fire Control Dist  
Board of Commissioners  
CITY : 7126 Westmoreland Dr  
Sarasota FL 34243

NAME OF AGENCY :

NAME OF OFFICE OR POSITION HELD OR SOUGHT :

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

CHECK ONLY IF  CANDIDATE OR  NEW EMPLOYEE OR APPOINTEE

RECEIVED

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SARASOTA COUNTY  
COMMISSIONERS

**\*\*\*\* BOTH PARTS OF THIS SECTION MUST BE COMPLETED \*\*\*\***

**DISCLOSURE PERIOD:**

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR THE PRECEDING TAX YEAR, WHETHER BASED ON A CALENDAR YEAR OR ON A FISCAL YEAR. PLEASE STATE BELOW WHETHER THIS STATEMENT IS FOR THE PRECEDING TAX YEAR ENDING EITHER (must check one):

DECEMBER 31, 2017 OR  SPECIFY TAX YEAR IF OTHER THAN THE CALENDAR YEAR: \_\_\_\_\_

**MANNER OF CALCULATING REPORTABLE INTERESTS:**

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

COMPARATIVE (PERCENTAGE) THRESHOLDS OR  DOLLAR VALUE THRESHOLDS

**PART A -- PRIMARY SOURCES OF INCOME** [Major sources of income to the reporting person - See instructions]  
(If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY
SARASOTA REAL ESTATE	7333 N. TRAIL	Real Estate Sales

**PART B -- SECONDARY SOURCES OF INCOME** [Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]  
(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE
/			

**PART C -- REAL PROPERTY** [Land, buildings owned by the reporting person - See instructions]  
(If you have nothing to report, write "none" or "n/a")

See attached

**FILING INSTRUCTIONS** for when and where to file this form are located at the bottom of page 2.

**INSTRUCTIONS** on who must file this form and how to fill it out begin on page 3.

**PART D — INTANGIBLE PERSONAL PROPERTY** [Stocks, bonds, certificates of deposit, etc. - See instructions]  
 (If you have nothing to report, write "none" or "n/a")

TYPE OF INTANGIBLE

BUSINESS ENTITY TO WHICH THE PROPERTY RELATES

*See attached*

**PART E — LIABILITIES** [Major debts - See instructions]  
 (If you have nothing to report, write "none" or "n/a")

NAME OF CREDITOR

ADDRESS OF CREDITOR

*0*

**PART F — INTERESTS IN SPECIFIED BUSINESSES** [Ownership or positions in certain types of businesses - See instructions]  
 (If you have nothing to report, write "none" or "n/a")

BUSINESS ENTITY # 1

BUSINESS ENTITY # 2

NAME OF BUSINESS ENTITY

*Sarasota Real Estate*

ADDRESS OF BUSINESS ENTITY

*7333 N. TRAIL*

PRINCIPAL BUSINESS ACTIVITY

*Sales*

POSITION HELD WITH ENTITY

*President*

I OWN MORE THAN A 5% INTEREST IN THE BUSINESS

*YES 100%*

NATURE OF MY OWNERSHIP INTEREST

**PART G — TRAINING**

For elected municipal officers required to complete annual ethics training pursuant to section 112.3142, F.S.

I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE

**SIGNATURE OF FILER:**

Signature:

*[Handwritten Signature]*

Date Signed:

*6-3-18*

**CPA or ATTORNEY SIGNATURE ONLY**

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, \_\_\_\_\_, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature: \_\_\_\_\_

Date Signed: \_\_\_\_\_

**FILING INSTRUCTIONS:**

If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location. To determine what category your position falls under, see page 3 of instructions.

**Local officers/employees** file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.) Form 1 filers who file with the Supervisor of Elections may file by mail or email. Contact your Supervisor of Elections for the mailing address or email address to use. Do not email your form to the Commission on Ethics, it will be returned.

**State officers or specified state employees** who file with the Commission on Ethics may file by mail or email. To file by mail, send the completed form to P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Rd, Bldg E, Ste 200, Tallahassee, FL 32303. To file with the Commission by email, scan your completed form and any attachments as a pdf (do not use any other format) and send it to CEForm1@leg.state.fl.us. Do not file by both mail and email. Choose only one filing method. Form 6s will not be accepted via email.

**Candidates** file this form together with their filing papers.

**MULTIPLE FILING UNNECESSARY:** A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.

**WHEN TO FILE: Initially,** each local officer/employee, state officer, and specified state employee must file **within 30 days** of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.

**Candidates** must file at the same time they file their qualifying papers.

**Thereafter,** file by July 1 following each calendar year in which they hold their positions.

**Finally,** file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does not relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2017.

RECEIVED

Name MICHAEL HOLDERNESS Birth Date 7-15-45 Statement Date 6-3-18  
 Address 7126 WESTMORELAND DR City SARASOTA State/Zip FL 34243  
 Home Phone 359-9796 No. of Dependents 1 Bus. or Occupation SALES Bus. Phone 355-7696

**NOTE: Complete all of Section II BEFORE Section I**

**SECTION I**

ASSETS				LIABILITIES					
		THOU-SANDS	HUN-DREDS	CENTS			THOU-SANDS	HUN-DREDS	CENTS
1	Cash On Hand & in Banks	Sec. II-A	800			21	Notes Due to Banks		Sec. II-A
2	Cash Value of Life Insurance	Sec. II-B	70			22	Notes Due to Relatives & Friends		Sec. II-H
3	U.S. Gov. Securities	Sec. II-C				23	Notes Due to Others		Sec. II-H
4	Other Marketable Securities	Sec. II-C	2150			24	Accounts & Bills Payable		Sec. II-H
5	Notes & Accounts Receivable - Good	Sec. II-D				25	Unpaid Income Taxes Due - <input type="checkbox"/> Federal <input type="checkbox"/> State		
6	Other Assets Readily Convertible to Cash - Itemize					26	Other Unpaid Taxes & Interest		
7	TWO MTGS		650			27	Loans on Life Insurance Policies		Sec. II-B
8	"		70			28	Contract Accounts Payable		Sec. II-H
9	HOMESTEAD		800			29	Cash Rent Owed		
10	TOTAL CURRENT ASSETS BUSINESS		400			30	Other Liabilities Due within 1 Year - Itemize		
11	Real Estate Owned	Sec. II-E	3000			31			
12	Mortgages & Contracts Owned	Sec. II-F				32			
13	Notes & Accounts Receivable - Doubtful	Sec. II-D				33	TOTAL CURRENT LIABILITIES		
14	Notes Due From Relatives & Friends	Sec. II-D				34	Real Estate Mortgages Payable		Sec. II-E
15	Other Securities - Not Readily Marketable	Sec. II-C				35	Liens & Assessments Payable		
16	Personal Property	Sec. II-G				36	Other Debts - Itemize		
17	Other Assets - Itemize JEWELRY & ART		60			37			
18	BOAT		30			38	Total Liabilities		
19	4 CARS		250			39	Net Worth (Total Assets minus Total Liabilities)		0
20	TOTAL ASSETS		8280			40	TOTAL LIABILITIES & NET WORTH		8280

ANNUAL INCOME	
Salary, Bonuses & Commissions	\$
Dividends & Interest	\$
Rental & Lease Income (Net)	\$
Alimony, child support, or separate maintenance income need not be revealed if you do not wish to have it considered as a basis for repaying this obligation.	
Other income - Itemize	\$
Provide the following information only if Joint Credit is checked above.	
Other Persons Salary, Bonuses & Commissions	\$
Alimony, child support, or separate maintenance income need not be revealed if you do not wish to have it considered as a basis for repaying this obligation.	
Other Income of Other Person - Itemize	\$
TOTAL	\$

ESTIMATE OF ANNUAL EXPENSES	
Income Taxes	\$
Other Taxes	\$
Insurance Premiums	\$
Mortgage Payments	\$
Rent Payable	\$
Other Expenses	\$
TOTAL	\$

GENERAL INFORMATION	
Are any Assets Pledged?	<input type="checkbox"/> No <input type="checkbox"/> Yes (See Section II)
Are you a Defendant in any Suits or Legal Actions?	<input type="checkbox"/> No <input type="checkbox"/> Yes
(Explain):	
Have you ever been declared Bankrupt in the last 10 years?	<input type="checkbox"/> No <input type="checkbox"/> Yes
(Explain):	

CONTINGENT LIABILITIES	
As Endorser, Co-maker or Guarantor	\$
On Leases or Contracts	\$
Legal Claims	\$
Federal - State Income Taxes	\$
Other -	\$

**SECTION II**

**A CASH IN BANKS AND NOTES DUE TO BANKS** (List all Real Estate Loans in Section II-E)

NAME OF BANK	Type of Account	Type of Ownership	On Deposit	Notes Due Banks	COLLATERAL (If Any) & Type of Ownership
			\$	\$	

TOTALS \$ (Enter Sec. 1 Line 2) \$ (Enter Sec. 1 Line 27)

**C SECURITIES OWNED** (Including U.S. Gov't Bonds and all other Stocks and Bonds)

Face Value - Bonds No. of Shares Stock	DESCRIPTION Indicate those Not Registered in Your Name	Type of Ownership	COST	Market Value U.S. Gov. Sec.	Market Value Marketable Sec.	MARKET VALUE Not Readily Marketable SECURITIES	Amount Pledged to Secured Loans
	ATTACHED						\$
TOTALS							\$

**D NOTES AND ACCOUNTS RECEIVABLE** (Money Payable or Owed to You Individually - Indicate by a ✓ if Others have an Ownership Interest)

MAKER/DEBTOR	When Due	Original Amount	Balance Due Good Accounts	Balance Due Doubtful Accounts	Bal. Due Notes Rel. & Friends	SECURITY (if Any)	
SIESTA ROBINSON		\$ 650 70	\$	\$	\$		
TOTALS							\$

**E REAL ESTATE OWNED** (Indicate by a ✓ if Others have an Ownership Interest)

TITLE IN NAME OF	Description & Location	Date Acquired	Original Cost	Present Value of Real Estate	Amount of Ins. Carried	MORTGAGE OR CONTRACT PAYABLE				
						Est. Due	Payment	Maturity	To Whom Payable	
	ATTACHED		\$	\$	\$					
TOTAL						\$	TOTAL \$			

**F MORTGAGES AND CONTRACTS OWNED** (Indicate by a ✓ if Others have an Ownership Interest)

Cont.	Mgs.	MAKER	PROPERTY COVERED	Starting Date	Payment	Maturity	Balance Due
		Name Address					
		10			\$		\$
TOTALS							\$

**G PERSONAL PROPERTY** (Indicate by a ✓ if Others have an Ownership Interest)

DESCRIPTION	Date When New	Cost When New	Value Today	LOANS ON PROPERTY	
				Balance Due	To Whom Payable
Automobiles - 4 CARS 1 BOAT		\$	\$	\$	
TOTAL				\$	

**H NOTES (Other than Bank, Mortgage and Insurance Company Loans), ACCOUNTS AND BILLS AND CONTRACTS PAYABLE**

PAYABLE TO	Other Obligors (if Any)	When Due	Notes Due To Rel. & Friends	Notes Due 'Others' (Not Banks)	Accounts & Bills Payable	Contracts Payable	COLLATERAL (if Any)
10			\$				
TOTALS							\$

For the purpose of procuring credit from time to time, We furnish the foregoing as a true and accurate statement of my/our financial condition. Authorization is hereby given to the Lender to verify in any manner it deems appropriate now and at such other times as it may deem necessary. This endorsement also agrees to verify the Lender's records in relation to any collateral interest therein in such financial condition.

ALDENESS REAL ESTATE

16/6/18

1105	COMMERCE	450,000
7333	N. TRAIL	400,000
1304	MAGELLAN	180,000
918	DE LEO	190,000
2006	YALE	180,000
2408	HOLYOKE	175,000
2316	HOLYOKE	170,000
2007	HARVARD	170,000
1806	HARVARD	170,000
1105	DARTMOUTH	170,000
AVE "F"	ISLAND BEACH CLUB	500,000
7188	21ST ST. E.	150,000

7126 WESTMORELAND DR 800,000

2905,000  
3705,000  
1700

**Holdings by Investor**  
 Holderness Mike Judy

Prepared for: Michael B Holderness

As of: 11/7/17

**Core Accounts**

**Michael B Holderness**

**Account Name:** DEAWM TRUST COMPANY CUST FOR THE ROTH IRA OF MICHAEL B HOLDERNESS 7126 WESTMORELAND DR SARASOTA FL 34243-1433

**Account Number:** [REDACTED]

**Account Type:** Roth IRA - Minor

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SARASOTA COUNTY  
 FLORIDA

SSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
DEUTSCHE S&P 500 INDEX FUND CLASS C	SXPCX	US STOCKS	DEUTSCHE ASSET & WEALTH MGMT	6,410.43	30.62	196,287.40

**Account Total:** \$196,287.40

**Account Name:** JUDITH R JUDITH HOLDERNESS REV TRUST 7126 WESTMORELAND DR SARASOTA FL 342431433

**Account Number:** [REDACTED]

**Account Type:** Non-Qualified

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
DREY INVMTSMCAPSTKINDX SVC		US STOCKS	NATIONWIDE	1,315.12	43.43	57,122.06
DREY VIF APP SVC		US STOCKS	NATIONWIDE	1,942.52	27.66	53,722.04
FNKLNTVIPTFNKLSMCPVALVIP2		US STOCKS	NATIONWIDE	1,433.93	40.68	58,327.36
MFS VIT VAL SER SVC		US STOCKS	NATIONWIDE	1,543.82	34.03	52,542.38
NW AMCENTNVITMULTCAPVAL II		US STOCKS	NATIONWIDE	2,006.18	25.59	51,344.89
NW NVIT GOV MNY MKT I		CASH	NATIONWIDE	4,231.71	9.41	39,825.90
NW NVIT MDCAP INDX I		US STOCKS	NATIONWIDE	1,310.06	42.80	56,068.50
NW NVIT MULTMGRSMCAPVAL II		US STOCKS	NATIONWIDE	2,810.15	40.45	113,664.94
OPP MN ST SMCAP VA SVC		US STOCKS	NATIONWIDE	1,333.86	42.16	56,237.04

**Account Total:** \$538,855.10

**Account Name:** MICHAEL B HOLDERNESS 7126 WESTMORELAND DR SARASOTA FL 342431433

**Account Number:** [REDACTED]

**Account Type:** IRA (Regular)

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
AB VPS SMMDCAP VAL B		US STOCKS	NATIONWIDE	474.70	42.35	20,101.96

^ This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: KENNETH C METTE CLU CFS AAMS Lincoln Financial Securities Corp.

Created on: 11/8/17

Incomplete if presented without accompanying disclosure pages

**Holdings by Investor**  
Holderness Mike Judy

Prepared for: Michael B Holderness

As of: 11/7/17

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
AMCENT VP MDCAP VAL II		US STOCKS	NATIONWIDE	665.16	29.13	19,376.58
DREY INVMTSMCAPSTKINDX SVC		US STOCKS	NATIONWIDE	451.46	43.43	19,608.92
FNKLNTVIPTFNKLSMCPVALVIP2		US STOCKS	NATIONWIDE	475.56	40.68	19,344.20
MFS VIT VAL SER SVC		US STOCKS	NATIONWIDE	511.92	34.03	17,422.83
NW AMCENTNVITMULTCAPVAL II		US STOCKS	NATIONWIDE	1,303.87	25.59	33,370.41
NW NVIT MDCAP INDX I		US STOCKS	NATIONWIDE	472.23	42.80	20,210.75
NW NVIT S P 500 INDX II		US STOCKS	NATIONWIDE	1,020.75	16.45	16,795.67
OPP MN ST SMCAP VA SVC		US STOCKS	NATIONWIDE	469.31	42.16	19,786.60

**Account Total: \$186,017.92**

**Account Name:** MICHAEL B HOLDERNESS 7126 WESTMORELAND DR SARASOTA FL 34243

**Account Number:** [REDACTED]

**Account Type:** IRA-Rollover Account from Another IRA

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
5 YEAR FIXED PERIOD ACCOUNT		OTHER	ALLIANZ LIFE	15,783.61	1.00	15,783.61
AZL S&P 500 INDEX FUND CL 2		US STOCKS	ALLIANZ LIFE	785.85	17.75	13,952.26
AZL SMALL CAP STOCK INDEX FUND CL 2		US STOCKS	ALLIANZ LIFE	489.47	19.87	9,726.93

**Account Total: \$39,462.80**

**Account Name:** MICHAEL B HOLDERNESS & JUDITH HOLDERNESS JTWR0S SUBJECT TO DST TOD RULES 7126 WESTMORELAND DR SARASOTA FL 34243-1433

**Account Number:** [REDACTED]

**Account Type:** Tran On Death/Pay On Death

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
INVENTRUST PPTYS CORP COM		US STOCKS	INVENTRUST PROPERTIES CORP.	18,298.29	3.29	60,201.38

**Account Total: \$60,201.38**

**Account Name:** MICHAEL B HOLDERNESS TTEE FBO MICHAEL B HOLDERNESS REVOCABLE TR U/A 06/19/1997 7126 WESTMORELAND DR SARASOTA FL 34243-1433

**Account Number:** [REDACTED]

**Account Type:** Trusts

^ This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: KENNETH C METTE CLU CFS AAMS Lincoln Financial Securities Corp.

Created on: 11/8/17

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## Holdings by Investor

Holderness Mike Judy

Prepared for: Michael B Holderness

As of: 11/7/17

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
DEUTSCHE S&P 500 INDEX FUND CLASS C	SXPCX	US STOCKS	DEUTSCHE ASSET & WEALTH MGMT	2,413.96	30.62	73,915.30

**Account Total:** \$73,915.30

**Account Name:** MICHAEL B HOLDERNESS TTEE MICHAEL B HOLDERNESS REV TR DTD 06/19/1997 7126 WESTMORELAND DR SARASOTA FL 34243-1433

**Account Number:** [REDACTED]

**Account Type:** Trusts

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
INVENTRUST PPTYS CORP COM		US STOCKS	INVENTRUST PROPERTIES CORP.	7,251.92	3.29	23,858.81

**Account Total:** \$23,858.81

**Account Name:** MICHAEL B HOLDERNESS TTEE MICHAEL B HOLDERNESS REV TRUST DTD 06/19/97 FBO MICHAEL B HOLDERNESS 7126 WESTMORELAND DR SARASOTA FL 34243-1433

**Account Number:** [REDACTED]

**Account Type:** Trusts

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
FIDELITY ADV CONSUMER STAPLES CL C	FDCGX	US STOCKS	FIDELITY ADVISOR FUNDS	778.08	89.04	69,280.15
FIDELITY ADV EQUITY INCOME C	FEICX	US STOCKS	FIDELITY ADVISOR FUNDS	2,490.93	34.21	85,214.75
FIDELITY ADV INDUSTRIALS C	FCLCX	US STOCKS	FIDELITY ADVISOR FUNDS	4,883.95	36.86	180,022.32
FIDELITY ADV LEVERAGED CO STK C	FLSCX	US STOCKS	FIDELITY ADVISOR FUNDS	4,772.07	40.15	191,598.49
FIDELITY ADV NEW INSIGHTS C	FNICX	US STOCKS	FIDELITY ADVISOR FUNDS	6,081.89	29.13	177,165.54

**Account Total:** \$703,281.26

**Account Name:** MICHAEL HOLDERNESS JUDY HOLDERNESS TOD ON FILE 7126 WESTMORELAND DR SARASOTA FL 34243

**Account Number:** [REDACTED]

**Account Type:** Transfer On Death-Joint Wrs

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
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<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: KENNETH C METTE CLU CFS AAMS Lincoln Financial Securities Corp.

Created on: 11/8/17

Incomplete if presented without accompanying disclosure pages

Page 3 of 8



**Holdings by Investor**  
Holderess Mike Judy

Prepared for: Michael B Holderness

As of: 11/7/17

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
FIDELITY GOVERNMENT MONEY MARKET FUND	SPAXX	BONDS	FIDELITY ADVISOR FUNDS	2,876.46	1.00	2,876.46
JOHNSON & JOHNSON COM	JNJ	US STOCKS		400.00	139.77	55,908.00
PIMCO INCOME C	PONCX	BONDS	PIMCO FUNDS - RETAIL	2,657.03	12.45	33,079.96

**Account Total: \$91,864.42**

**Account Name:** MICHAEL HOLDERNESS TR UA JUN 19 1997 MICHAEL B HOLDERNESS REV TRUST 7126 WESTMORELAND DR SARASOTA FL 34243-1433

**Account Number:** [REDACTED]

**Account Type:** Trusts

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
OPP SR FLOATING RATE	OOSAX	BONDS	OPPENHEIMER FUNDS	2,471.87	8.11	20,046.88

**Account Total: \$20,046.88**

**Account Name:** MICHAEL HOLDERNESS TTEE MICHAEL B HOLDERNESS REV TRUST U/A DTD 06/19/1997 7126 WESTMORELAND DR SARASOTA FL 34243-1433

**Account Number:** [REDACTED]

**Account Type:** Trust(underagreement)

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
MFS VALUE FUND C	MEICX	US STOCKS	MFS INVESTMENT MANAGEMENT	1,599.88	39.78	63,643.11

**Account Total: \$63,643.11**

**Account Name:** MIKE HOLDERNESS 7126 WESTMORELAND DR SARASOTA FL 34243

**Account Number:** [REDACTED]

**Account Type:** IRA-Rollover Accountfrom Another IRA

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
4 YEAR FIXED PERIOD ACCOUNT		OTHER	ALLIANZ LIFE	1,848.06	1.00	1,848.06
5 YEAR FIXED PERIOD ACCOUNT		OTHER	ALLIANZ LIFE	2,364.87	1.00	2,364.87
AZL MID CAP INDEX FUND CL 2		US STOCKS	ALLIANZ LIFE	109.24	20.83	2,275.31
AZL S&P 500 INDEX FUND CL 2		US STOCKS	ALLIANZ LIFE	193.07	17.75	3,427.86

**Account Total: \$9,916.10**

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Prepared by: KENNETH C METTE CLU CFS AAMS Lincoln Financial Securities Corp.

Created on: 11/8/17

Incomplete if presented without accompanying disclosure pages

## Holdings by Investor

Holderness Mike Judy

Prepared for: Michael B Holderness

As of: 11/7/17

**Account Name:** MIKE HOLDERNESS 7126 WESTMORELAND DR SARASOTA FL 34243

**Account Number:** [REDACTED]

**Account Type:** Individual

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
4 YEAR FIXED PERIOD ACCOUNT		OTHER	ALLIANZ LIFE	2,317.92	1.00	2,317.92
5 YEAR FIXED PERIOD ACCOUNT		OTHER	ALLIANZ LIFE	8,932.13	1.00	8,932.13
AZL MID CAP INDEX FUND CL 2		US STOCKS	ALLIANZ LIFE	303.48	20.83	6,321.20
AZL S&P 500 INDEX FUND CL 2		US STOCKS	ALLIANZ LIFE	542.58	17.75	9,633.29
<b>Account Total:</b>						<b>\$27,204.54</b>
<b>Michael B Holderness Total:</b>						<b>\$2,034,555.02</b>

### Judith Holderness

**Account Name:** JUDITH HOLDERNESS TR UA JUN 19 1997 JUDITH HOLDERNESS REV TRUST 7126 WESTMORELAND DR SARASOTA FL 34243-1433

**Account Number:** [REDACTED]

**Account Type:** Trusts

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
OPP SR FLOATING RATE	OOSAX	BONDS	OPPENHEIMER FUNDS	2,611.37	8.11	21,178.19
<b>Account Total:</b>						<b>\$21,178.19</b>

**Account Name:** JUDITH HOLDERNESS TR UA JUN 19 1997 JUDITH HOLDERNESS REV TRUST 7126 WESTMORELAND DR SARASOTA FL 34243-1433

**Account Number:** [REDACTED]

**Account Type:** Trusts

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
OPPENHEIMER SENIOR FLOATING RATE FUND	OOSCX	BONDS	OPPENHEIMER FUNDS	3,716.93	8.12	30,181.43
<b>Account Total:</b>						<b>\$30,181.43</b>
<b>Judith Holderness Total:</b>						<b>\$51,359.62</b>

^ This report may include assets that the firm does not hold and which are not included on the firm's books and records.

Prepared by: KENNETH C METTE CLU CFS AAMS Lincoln Financial Securities Corp.

Created on: 11/8/17

Incomplete if presented without accompanying disclosure pages

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# Holdings by Investor

Holderness Mike Judy

Prepared for: Michael B Holderness

As of: 11/7/17

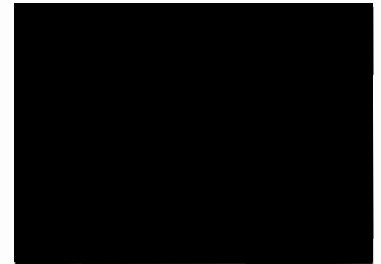
## Judith Holderness

**Account Name:** JUDITH HOLDERNESS REV TRUST DATED 06/19/1997 C/O MICHAEL B HOLDERNESS 7126 WESTMORELAND DR SARASOTA FL 34243

**Account Number:** [REDACTED]

**Account Type:** Trusts

ASSET	TICKER	ASSET TYPE	MGT. NAME	QUANTITY	PRICE (\$)	VALUE (\$)
AZL GOVERNMENT MONEY MARKET FUND		CASH	ALLIANZ LIFE	1,259.03	9.95	12,530.98
AZL S&P 500 INDEX FUND CL 2		US STOCKS	ALLIANZ LIFE	886.23	17.75	15,734.48
AZL SMALL CAP STOCK INDEX FUND CL 2		US STOCKS	ALLIANZ LIFE	538.94	19.87	10,709.99
<b>Account Total:</b>						<b>\$38,975.44</b>
<b>Judith Holderness Total:</b>						<b>\$38,975.44</b>



<sup>A</sup> This report may include assets that the firm does not hold and which are not included on the firm's books and records.

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